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MLW28

May 4, 1998

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Thomas A. Gerace

Application No.: 08/634,900

Group Art Unit: 2763

Filed: April 26, 1996

Examiner: M. Irshadullah

Title: METHOD AND APPARATUS FOR DETERMINING BEHAVIORAL PROFILE OF A COMPUTER USER

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

on May 4, 1998

Date

Signature

Margaret A. Norcutt

Typed or printed name of person signing certificate

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.129(a), or

(First/Second submission after Final Rejection)

☐ under 37 CFR 1.97(b), or

(Within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97(c) together with either:☐ a Certification under 37 CFR 1.97(e), as checked below, or☒ a \$240.00 fee under 37 CFR 1.17(p), or

(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with:☐ a Certification under 37 CFR 1.97(e), as checked below, and☐ a Petition under 37 CFR 1.97(d)(2), and☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☐ Applicant requests that the attached IDS and cited reference(s) \_\_\_\_\_ be placed in the application filewrapper.  
(Filed after payment of issue fee)

Certification Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement for reference(s) \_\_\_\_\_ under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ submission of the enclosed International Search Report.
- ☐ the enclosed English language abstract.

It is requested that the information disclosed herein be made of record in this application.

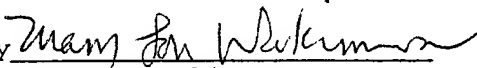
Method of payment:

- ☒ Enclosed is a check in the amount of \$240.00.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$ \_\_\_\_\_.
- ☒ A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By

  
Mary Lou Wakimura

Attorney for Applicants  
Registration No.: 31,804  
Telephone: (781) 861-6240  
Facsimile: (781) 861-9540

Lexington, Massachusetts 02173

Dated: 5/4/98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

05/07/98  
JCS00 U.S. PTO

Applicant(s): Thomas A. Gerace

Application No.: 08/634,900

Group Art Unit: 2763

Filed: April 26, 1996

Examiner: M. Irshadullah

- For: METHOD AND APPARATUS FOR DETERMINING BEHAVIORAL PROFILE  
OF A COMPUTER USER

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231:	
on <u>5/4/98</u>	<u>Margaret A. Norcutt</u>
Date	Signature
<u>Margaret A. Norcutt</u>	
Typed or printed name of person signing certificate	

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is Amendment A for  
filing in the above-identified application.

☒ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has  
been established by a verified statement previously submitted.

☐ A verified statement to establish small entity status under 37 C.F.R. 1.9  
and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)	(COL. 2)	(COL. 3)	(COL. 4)	(COL. 5)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	28	MINUS	* 28	0
INDEP	3	MINUS	** 3	0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

SMALL ENTITY	
RATE	ADDIT. FEE
X \$11	\$
X \$41	\$
+ \$135	\$

OTHER THAN SMALL ENTITY	
RATE	ADDIT. FEE
X \$22	\$
X \$82	\$
+ \$270	\$

TOTAL = \$ -0-

\$

\* not fewer than 20  
\*\* not fewer than 3

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GROUP 2600

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for ____ month Extension of Time	\$ _____
<input type="checkbox"/>	Amendment Fee	\$ _____
<input type="checkbox"/>	Other Fees:	
	_____	\$ _____
	_____	\$ _____
	TOTAL:	\$ _____

A check is enclosed in payment of the following fees:

<input type="checkbox"/>	Petition for ____ month Extension of Time	\$ _____
<input type="checkbox"/>	Amendment Fee	\$ _____
<input checked="" type="checkbox"/>	Other Fees:	
	IDS	\$ 240.00
	_____	\$ _____
	TOTAL:	\$ 240.00

☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Mary Lou Wakimura  
Mary Lou Wakimura  
Registration No. 31,804  
Attorney for Applicant(s)  
Telephone (781) 861-6240  
Facsimile (781) 861-9540

Two Militia Drive  
Lexington, Massachusetts 02173

Dated: 5/4/98

# **Fax Cover Sheet**

## **U.S. Patent & Trademark Office**

2121 Crystal Drive  
Crystal City, Virginia 22202



## **Art Unit 2411**

**Date:** January 27, 1997

**To:**

Mary Lou Wakimura  
Hamilton, Brook, Smith & Reynolds, P.C.  
(617) 861-6240  
(617) 861-9540

**From:**

William N. Hughet  
(703) 305-9770  
(703) 305-9731 (fax)

**Re:** 08/634,900  
Substitute Specification

**Number of pages (including this cover sheet):** 2

**If you have not received all of the pages of this transmission, please contact me.**